

Hamiltonian cycles in circulant digraphs with jumps 2, 3, c [We need a real title???

Abstract

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1 Introduction

It is not known which circulant digraphs have hamiltonian cycles; this is a fundamental open question. However, the circulants of outdegree 3 are the smallest ones that need to be considered, because a classic result of R. A. Rankin (see Theorem 2.7) provides a nice characterization for circulants of outdegree 2 (and any strongly connected digraph of outdegree 1 is obviously hamiltonian).

S. C. Locke and D. Witte [1] found two infinite families of non-hamiltonian circulant digraphs of outdegree 3. One of the families includes the following examples, which require introducing a piece of notation.

Notation 1.1 For $S \subset \mathbb{Z}$, we use $\text{Circ}(n; S)$ to denote the circulant digraph whose vertex set is \mathbb{Z}_n , and with an arc from v to $v + s$ for each $v \in \mathbb{Z}_n$ and $s \in S$.

Theorem 1.2 (Locke-Witte, cf. [1, Thm. 1.4])

- (1) $\text{Circ}(6m; 2, 3, 3m + 2)$ is not hamiltonian if and only if m is even.
- (2) $\text{Circ}(6m; 2, 3, 3m + 3)$ is not hamiltonian if and only if m is odd.

In this paper, we completely characterize which loopless digraphs of the form $\text{Circ}(n; 2, 3, c)$ that have outdegree 3 are hamiltonian:

Theorem 1.3 Assume $c \not\equiv 0, 2, 3 \pmod{n}$. The digraph $\text{Circ}(n; 2, 3, c)$ is **not** hamiltonian iff

- (1) n is a multiple of 6, so we may write $n = 6m$,
- (2) either $c = 3m + 2$ or $c = 3m + 3$, and
- (3) c is even.

The direction (\Leftarrow) of Theorem 1.3 is a restatement of part of the Locke-Witte Theorem (1.2), so we need only prove the opposite direction.

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2 Preliminaries

Our goal is to establish Theorem 1.3(\Rightarrow). We will prove the contrapositive.

Assumption 2.1 *Throughout the paper:*

- (1) *We assume the situation of Theorem 1.3, so $n, c \in \mathbb{Z}^+$, and $c \not\equiv 0, 2, 3 \pmod{n}$.*
- (2) *We may assume $c \not\equiv 1, -1 \pmod{n}$. (Otherwise, $\text{Circ}(n; 2, 3, c)$ has a hamiltonian cycle consisting entirely of arcs of length c .)*
- (3) *Since the vertices of $\text{Circ}(n; 2, 3, c)$ are elements of \mathbb{Z}_n , we may assume $3 < c < n$.*
- (4) *We assume n is divisible by 6. (Otherwise, $\text{Circ}(n; 2, 3, c)$ has either a hamiltonian cycle consisting entirely of arcs of length 2 or a hamiltonian cycle consisting entirely of arcs of length 3.)*
- (5) *We write $n = 6m$.*

Notation 2.2 *Let H be a subdigraph of $\text{Circ}(n; 2, 3, c)$, and let v be a vertex of H .*

- (1) *We let $d_H^+(v)$ and $d_H^-(v)$ denote the number of arcs of H directed out of, and into, vertex v , respectively.*
- (2) *If $d_H^+(v) = 1$, and the arc from v to $v + a$ is in H , then we say that v travels by a in H .*

Notation 2.3 *Let u, w be integers representing vertices of $\text{Circ}(n; 2, 3, c)$. If $u - 1 \leq w < u + n$, let $I(u, w) = \{u, u + 1, \dots, w\}$ be the interval of vertices from u to w . (Note that $I(u, u) = \{u\}$ and $I(u, u - 1) = \emptyset$.)*

Notation 2.4 *For $v_1, v_2 \in \mathbb{Z}_n$ and $s \in \{2, 3, -c'\}$, we use*

- $v_1 \xrightarrow{s} v_2$ to denote the arc from v_1 to $v_1 + s = v_2$, and
- $v_1 \xrightarrow{-s} v_2$ to denote a path of the form $v_1, v_1 + s, v_1 + 2s, \dots, v_1 + ks = v_2$.

We now treat two simple cases that do not conform to the more general structures that we deal with in later sections.

Lemma 2.5 *The digraph $\text{Circ}(6m; 2, 3, 6m - 2)$ has a hamiltonian cycle.*

PROOF. For $0 \leq i \leq m - 1$, define the path Q_{6i} as follows:

$$6i \xrightarrow{-2} 6i + 4 \xrightarrow{3} 6i + 7 \xrightarrow{6m-2} 6i + 3 \xrightarrow{3} 6i + 6.$$

Notice that this path starts at vertex $6i$, ends at vertex $6i + 6$, and uses one vertex from every other equivalence class modulo 6. It is therefore straightforward to verify that the concatenation of the paths $Q_0, Q_6, \dots, Q_{6m-6}$ is a hamiltonian cycle.

Lemma 2.6 *The digraph $\text{Circ}(6m; 2, 3, 6m - 3)$ has a hamiltonian cycle.*

PROOF. The following is a hamiltonian cycle in this digraph:

$$0 \xrightarrow{-3} 6m-6 \xrightarrow{2} 6m-4 \xrightarrow{6m-3} 2 \xrightarrow{2} 4 \xrightarrow{-3} 6m-5 \xrightarrow{-2} 1 \xrightarrow{6m-3} 6m-2 \xrightarrow{2} 0.$$

The path from 0 to $6m - 6$, together with $6m - 3$ (which immediately follows $6m - 5$) uses all of the vertices that are $0 \pmod{3}$; the path from $6m - 4$ to 2, together with $6m - 1$ (which immediately follows $6m - 3$) uses all of the vertices that are $2 \pmod{3}$, and the path from 4 to $6m - 5$, together with 1 and $6m - 2$, uses all of the vertices that are $1 \pmod{3}$.

Although we do not use it in this paper, we recall the following elegant result that was mentioned in the introduction:

Theorem 2.7 (R. A. Rankin, 1948, [2, Thm. 4]) *The circulant digraph $\text{Circ}(n; a, b)$ of outdegree 2 has a hamiltonian cycle iff there exist $s, t \in \mathbb{Z}^+$, such that*

- $s + t = \gcd(n, a - b)$, and
- $\gcd(n, sa + tb) = 1$.

3 Most cases of the proof

In this section, we prove the following two results that cover most of the cases of Theorem 1.3:

Proposition 3.1 *If $c > 3m$, then $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle.*

Proposition 3.2 *If $c \leq 3m$ and $c \not\equiv 3 \pmod{6}$, then $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle.*

Notation 3.3 *For convenience, let $c' = 6m - c$, so $1 \leq c' < 6m - 3$, and*

$$\text{Circ}(6m; 2, 3, c) = \text{Circ}(6m; 2, 3, -c').$$

In fact, since the cases $c = 6m - 3, 6m - 2$ and $c \equiv -1 \pmod{n}$ have already been addressed, we may assume that $c' > 3$.

Remark 3.4 *The use of c' is very convenient when c is large (so one should think of c' as being small — less than $3m$), but it can also be helpful in some other cases.*

Definition 3.5 *A subdigraph P of $\text{Circ}(n; 2, 3, -c')$ is a pseudopath from u to w if P is the disjoint union of a path from u to w and some number (perhaps 0) of cycles. In other words, if v is a vertex of P , then*

$$d_P^+(v) = \begin{cases} 0 & \text{if } v = w; \\ 1 & \text{otherwise;} \end{cases} \quad \text{and} \quad d_P^-(v) = \begin{cases} 0 & \text{if } v = u; \\ 1 & \text{otherwise.} \end{cases}$$

Definition 3.6 *Let u, w be integers representing vertices of $\text{Circ}(n; 2, 3, c)$. If $u + c' + 2 \leq w \leq u + 2c'$, let $P(u, w)$ be the pseudopath from $u + 1$ to $w - 1$ whose vertex set is $I(u, w)$, such that v travels by*

$$\begin{cases} 2, & \text{if } v \in I(u, w - c' - 3) \cup I(u + c' + 1, w - 2), \\ 3, & \text{if } v \in I(w - c' - 2, u + c' - 1), \\ -c', & \text{if } v \in \{u + c', w\}. \end{cases}$$

Notice that the range of values for w makes sense because $c' > 3$.

Lemma 3.7 *$P(u, w)$ is a path if any of the following hold:*

- $w - u \equiv 2c' \pmod{3}$; or
- $w - u \equiv 2c' + 1 \pmod{3}$ and $w - u \equiv c' \pmod{2}$; or
- $w - u \equiv 2c' + 2 \pmod{3}$ and $w - u \not\equiv c' \pmod{2}$.

PROOF. To simplify the notation slightly, let us assume (without loss of generality) that $u = 0$.

Case 1 *Assume $w - c' \equiv c' \pmod{3}$.*

Choose $\varepsilon \in \{1, 2\}$, such that $w - c' - \varepsilon - 1$ is even. The path in $P(u, w)$ is

$$1 \xrightarrow{-2} w - c' - \varepsilon \xrightarrow{-3} c' - \varepsilon + 3 \xrightarrow{-2} w \xrightarrow{-c'} w - c' \\ \xrightarrow{-3} c' \xrightarrow{-c'} 0 \xrightarrow{-2} w - c' + \varepsilon - 3 \xrightarrow{-3} c' + \varepsilon \xrightarrow{-2} w - 1.$$

This contains both of the c -arcs, so there are no cycles in $P(u, w)$.

Case 2 Assume there exists $\varepsilon \in \{1, 2\}$, such that $w - c' - \varepsilon \equiv c' \pmod{3}$ and $w - c' - \varepsilon - 1$ is even.

The path in $P(u, w)$ is

$$1 \xrightarrow{-2} w - c' - \varepsilon \xrightarrow{-3} c' \xrightarrow{-c'} 0 \xrightarrow{-2} w - c' + \varepsilon - 3 \\ \xrightarrow{-3} c' - \varepsilon + 3 \xrightarrow{-2} w \xrightarrow{-c'} w - c' \xrightarrow{-3} c' + \varepsilon \xrightarrow{-2} w - 1.$$

This contains both of the c -arcs, so there are no cycles in $P(u, w)$.

Lemma 3.8 Let $k \in \mathbb{Z}$ be such that

- $k \leq 6m$,
- $c' + 3 \leq k \leq 2c' + 2$, and
- $k + c' \not\equiv 3 \pmod{6}$.

Let u, w be integers representing vertices of $\text{Circ}(n; 2, 3, c)$. Then for all u, w with $u \leq w$ and $w - u + 1 = k$, the subgraph induced by $I(u, w)$ has a hamiltonian path that starts at $u + 1$ and ends in $\{w - 1, w\}$.

PROOF. We consider three cases.

Case 1 Assume $k \equiv 2c' + 1 \pmod{3}$.

We have $w - u = k - 1 \equiv 2c' \pmod{3}$. Since $k - 1 \notin \{2c' + 1, 2c' + 2\}$, we must have $w - u = k - 1 \leq 2c'$. By Lemma 3.7, $P(u, w)$ is a hamiltonian path from $u + 1$ to $w - 1$.

Case 2 Assume $k \equiv 2c' + 2 \pmod{3}$.

Suppose, first, that $k \neq c' + 3$ (so $k \geq c' + 4$). Letting $w' = w - 1$, then

$$w' - u = w - u - 1 = k - 2 \geq (c' + 4) - 2 = c' + 2$$

and $w' - u = (w - 1) - u = k - 2 \equiv 2c' \pmod{3}$. By Lemma 3.7, $P(u, w')$ is a hamiltonian path in $I(u, w')$ from $u + 1$ to $w' - 1$. Adding the 2-arc from $w' - 1$ to $w' + 1 = w$ yields a hamiltonian path in $I(u, w)$ from $u + 1$ to w .

Suppose instead that $k = c' + 3$. Then $w - u = k - 1 \equiv 2c' + 1 \pmod{3}$ and $w - u = k - 1 = (c' + 3) - 1 \equiv c' \pmod{2}$, so by Lemma 3.7, $P(u, w)$ is a hamiltonian path from $u + 1$ to $w - 1$.

Case 3 Assume $k \equiv 2c' \pmod{3}$.

By assumption, we have $k + c' \equiv 2c' + c' \equiv 0 \pmod{3}$. Since $k + c' \not\equiv 3 \pmod{6}$, we must have $k + c' \equiv 0 \pmod{6}$, so $k \equiv c' \pmod{2}$. Then $w - u = k - 1 \equiv 2c' + 2 \pmod{3}$ and $w - u \equiv k - 1 \not\equiv k \equiv c' \pmod{2}$, so by Lemma 3.7, $P(u, w)$ is a hamiltonian path from $u + 1$ to $w - 1$.

It is now easy to prove Propositions 3.2 and 3.1.

PROOF OF PROPOSITION 3.2. As previously mentioned, we may assume $c > 3$. Since $3 < c \leq 3m$, we have $3m \leq c' < 6m - 3$, so

$$c' + 3 < 6m < 2c' + 2.$$

Furthermore, since $c \not\equiv 3 \pmod{6}$, we have

$$6m + c' \equiv c' \not\equiv 3 \pmod{6}.$$

Hence, Lemma 3.8 implies that the interval $I(0, 6m - 1)$ has a hamiltonian path from 1 to $6m - 2$ or to $6m - 1$. Inserting the 3-edge from $6m - 2$ to 1 or the 2-edge from $6m - 1$ to 1, yields a hamiltonian cycle. Since $I(0, 6m - 1)$ is the entire digraph, this completes the proof.

PROOF OF PROPOSITION 3.1. We have already dealt with the cases $c = 6m - 2$ (in Lemma 2.5), $c = 6m - 3$ (in Lemma 2.6), and the cases $6m \in \{2c' + 4, 2c' + 6\}$ are dealt with by Theorem 1.2. Furthermore, we noted earlier that the case $c = 6m - 1$ is clearly hamiltonian, so we may assume in what follows that $c < 6m - 3$ and $6m \notin \{2c' + 4, 2c' + 6\}$.

Let \mathcal{K} be the set of integers k that satisfy the conditions of Lemma 3.8. Note that $c' < 3m$.

We claim that n can be written as a sum $n = k_1 + k_2 + \cdots + k_s$, with each $k_i \in \mathcal{K}$. To see this, begin by noting that $c' + 4 \in \mathcal{K}$ (and 5 of any 6 consecutive integers between $c' + 3$ and $2c' + 2$, inclusive, belong to \mathcal{K}). Thus, we may assume $n < 2(c' + 4) = 2c' + 8$, for otherwise it is easy to write n as a sum of integers in \mathcal{K} . Since n is even, and $n \notin \{2c' + 4, 2c' + 6\}$, we conclude that $n = 2c' + 2 \in \mathcal{K}$. So n is obviously a sum of elements of \mathcal{K} . This completes the proof of the claim.

The preceding paragraph implies that we may cover the vertices of $\text{Circ}(n; 2, 3, c)$ by a disjoint collection of intervals $I(u_i, w_i)$, such that the number of vertices in $I(u_i, w_i)$ is k_i . By listing the intervals in their natural order, we may assume $u_{i+1} = w_i + 1$. By Proposition 3.8, the vertices of $I(u_i, w_i)$ can be covered by a path P_i that starts at $u_i + 1$ and ends in $\{w_i - 1, w_i\}$. Since

$$(u_{i+1} + 1) - w_i = (w_i + 2) - w_i = 2$$

and

$$(u_{i+1} + 1) - (w_i - 1) = (w_i + 2) - (w_i - 1) = 3,$$

there is an arc from the terminal vertex of P_i to the initial vertex of P_{i+1} . Thus, by adding a number of 2-arcs and/or 3-arcs, we may join all of the paths P_1, P_2, \dots, P_s into a single cycle that covers all of the vertices of $\text{Circ}(n; 2, 3, c)$. Thus, we have constructed a hamiltonian cycle.

4 The remaining cases

In this section, we prove the following result. Combining it with Propositions 3.1 and 3.2 (and Theorem 1.2) completes the proof of Theorem 1.3.

Proposition 4.1 *If $c \leq 3m$ and $c \equiv 3 \pmod{6}$, then $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle.*

Definition 4.2 *Let t be any natural number, such that $0 \leq 6t \leq c - 9$.*

(1) *Let*

$$\begin{aligned} \ell_1 &= c - 5, \\ \ell_2 &= \ell_2(t) = c - 1 + 6t, \\ \ell_3 &= c - 2, \\ \ell_4 &= c + 3. \end{aligned}$$

(2) *Define subdigraphs Q_1, Q_2, Q_3 and Q_4 of $\text{Circ}(6m; 2, 3, c)$ as follows:*

- *The vertex set of Q_i is $I(0, \ell_i + 2) \cup \{\ell_i + 5\}$.*
- *In Q_1 , vertex v travels by*

$$\begin{cases} c, & \text{if } v = 0; \\ 2, & \text{if } v = 1 \text{ or } 2; \\ 3, & \text{if } v = 3, 4, \dots, c - 6. \end{cases}$$
- *In Q_2 , vertex v travels by*

$$\begin{cases} c, & \text{if } v = 1 \text{ or } 6t + 4; \\ 2, & \text{if } v = 2 \text{ or } 6t + 5 \leq v \leq c - 2; \\ 3, & \text{if } v = 0 \text{ or } 3 \leq v \leq 6t + 3 \text{ or } c - 1 \leq v \leq c - 2 + 6t. \end{cases}$$
- *In Q_3 , vertex v travels by*

$$\begin{cases} c, & \text{if } v = 1 \text{ or } 3; \\ 2, & \text{if } v = 1, 2 \text{ or } 4 \leq v \leq c - 3. \end{cases}$$

- In Q_4 , vertex v travels by $\begin{cases} c, & \text{if } v = 2 \text{ or } 8; \\ 2, & \text{if } 9 \leq v \leq c - 1; \\ 3, & \text{if } v = 0, 1 \text{ or } 3 \leq v \leq 7 \text{ or } c \leq v \leq c + 2. \end{cases}$

Notation 4.3 For ease of later referral, we also let $\ell_i(t)$ denote ℓ_i for $i \in \{1, 3, 4\}$.

Lemma 4.4

- (1) Each Q_i is the union of four disjoint paths from $\{0, 1, 2, 5\}$ to $\{\ell_i, \ell_i + 1, \ell_i + 2, \ell_i + 5\}$.
- (2) Indeed, if we
 - let $u_1 = 0, u_2 = 1, u_3 = 2, u_4 = 5$, and $w_{i,j} = \ell_i + u_j$, and
 - define permutations

$$\sigma_1 = (1423), \sigma_2 = (234), \sigma_3 = (1324), \text{ and } \sigma_4 = \text{identity},$$

then Q_i contains a path from u_j to $w_{i,\sigma_i(j)}$ for $j = 1, 2, 3, 4$.

PROOF. The paths in Q_1 are:

$$\begin{aligned} 0 & \xrightarrow{c} c \quad (= \ell_1 + 5), \\ 1 & \xrightarrow{2} 3 \xrightarrow{\text{---}3\text{---}} c - 3 \quad (= \ell_1 + 2), \\ 2 & \xrightarrow{2} 4 \xrightarrow{\text{---}3\text{---}} c - 5 \quad (= \ell_1), \\ 5 & \xrightarrow{\text{---}3\text{---}} c - 4 \quad (= \ell_1 + 1). \end{aligned}$$

The paths in Q_2 are:

$$\begin{aligned} 0 & \xrightarrow{\text{---}3\text{---}} 6t + 6 \xrightarrow{\text{---}2\text{---}} c - 1 \xrightarrow{\text{---}3\text{---}} c - 1 + 6t \quad (= \ell_2), \\ 1 & \xrightarrow{c} c + 1 \xrightarrow{\text{---}3\text{---}} c + 1 + 6t \quad (= \ell_2 + 2), \\ 2 & \xrightarrow{2} 4 \xrightarrow{\text{---}3\text{---}} 6t + 4 \xrightarrow{c} c + 4 + 6t \quad (= \ell_2 + 5), \\ 5 & \xrightarrow{\text{---}3\text{---}} 6t + 5 \xrightarrow{\text{---}2\text{---}} c \xrightarrow{\text{---}3\text{---}} c + 6t \quad (= \ell_2 + 1). \end{aligned}$$

The paths in Q_3 are:

$$\begin{aligned} 0 & \xrightarrow{c} c \quad (= \ell_3 + 2), \\ 1 & \xrightarrow{2} 3 \xrightarrow{c} c + 3 \quad (= \ell_3 + 5), \\ 2 & \xrightarrow{\text{---}2\text{---}} c - 1 \quad (= \ell_3 + 1), \\ 5 & \xrightarrow{\text{---}2\text{---}} c - 2 \quad (= \ell_3). \end{aligned}$$

The paths in Q_4 are:

$$\begin{aligned}
0 & \text{---}\underline{3}\text{---} 9 \text{---}\underline{2}\text{---} c \text{---}\underline{3}\text{---} c+3 \quad (= \ell_4), \\
1 & \text{---}\underline{3}\text{---} 10 \text{---}\underline{2}\text{---} c+1 \text{---}\underline{3}\text{---} c+4 \quad (= \ell_4 + 1), \\
2 & \underline{c} \quad c+2 \text{---}\underline{3}\text{---} c+5 \quad (= \ell_4 + 2), \\
5 & \underline{3} \quad 8 \text{---}\underline{c}\text{---} c+8 \quad (= \ell_4 + 5).
\end{aligned}$$

The above lemma yields the following conclusion:

Lemma 4.5 *If, for some natural number k , there exist sequences*

- $I = (i_1, i_2, \dots, i_k)$ with each $i_j \in \{1, 2, 3, 4\}$, and
- $T = (t_1, t_2, \dots, t_k)$ with $0 \leq 6t_j \leq c - 9$, for each j ,

such that

- (i) $\sum_{j=1}^k \ell_{i_j}(t_j) = 6m$, and
- (ii) *the permutation product $\sigma_{i_1} \sigma_{i_2} \cdots \sigma_{i_k}$ is a cycle of length 4,*

then $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle constructed by concatenating copies of Q_1, Q_2, Q_3 , and Q_4 .

PROOF OF PROPOSITION 4.1. Since σ_4 is the identity and $\ell_4 = c + 3$, we see that if $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle constructed by concatenating copies of Q_1, Q_2, Q_3 , and Q_4 , then $\text{Circ}(6m + c + 3; 2, 3, c)$ also has such a hamiltonian cycle. Thus, by subtracting some multiple of $c + 3$ from $6m$, we may assume

$$2c - 6 \leq 6m \leq 3c - 9.$$

(For this modified c , it is possible that $c > 3m$.)

Recall that $0 \leq 6t \leq c - 9$, so $2c - 6 + 6t$ can be any multiple of 6 between $2c - 6$ and $3c - 15$. Since $\sigma_1 \sigma_2 = (1243)$ and $\ell_1 + \ell_2(t) = 2c - 6 + 6t$, it follows that $\text{Circ}(6m; 2, 3, c)$ has a hamiltonian cycle constructed by concatenating one copy of Q_1 and one copy of Q_2 whenever $2c - 6 \leq 6m \leq 3c - 15$.

The only case that remains is when $6m = 3c - 9$. Now $\sigma_1 \sigma_3^2 = (1324)$ and $\ell_1 + 2\ell_3 = 3c - 9$, so $\text{Circ}(3c - 9; 2, 3, c)$ has a hamiltonian cycle constructed by concatenating one copy of Q_1 and two copies of Q_3 .

References

- [1] Stephen C. Locke and Dave Witte: On non-hamiltonian circulant digraphs of outdegree three, *J. Graph Theory* 30 (1999), no. 4, 319–331. MR1669452
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